



MARKE X INTERNATIONAL (PTY) LTD (PTY) LTD
2024/018500/07

THE PROMOTION OF ACCESS TO INFORMATION ACT

Markex International (Pty) Ltd (Pty) Ltd is a juristic representative of 4AM Asset Management (Pty) Ltd (FSP 51867)

June 2025

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1. Introduction

Markex International (Pty) Ltd (Pty) Ltd is a juristic representative of 4AM Asset Management (Pty) Ltd (The “FSP” hereafter) and a Private Company registered in the Republic of South Africa under registration number 2024/018500/07 or “Applicant Financial Services Provider”.

Markex International (Pty) Ltd a Private Company registered in the Republic of South Africa under registration number 2024/018500/07 is a juristic representative (The “JR” hereafter) under 4AM Asset Management (Pty) Ltd a Private Company registered in the Republic of South Africa under registration number 2021/667691/07, 4 AM Asset Management is also registered with the Financial Sector Conduct Authority (FSCA) as an Authorised Financial Services Provider with license number FSP51867 (The “FSP” hereafter).

The FSP and JR are not the market makers, or product issuer, and acts solely as an intermediary in terms of the FAIS Act between the client and AT Global Markets SA (Pty) Ltd, Therefore, AT Global Markets SA (Pty) Ltd a Private Company registered in the Republic of South Africa under registration number 2013/129459/07, AT Global Markets SA (Pty) Ltd is also registered with the Financial Sector Conduct Authority as an Authorised Financial Services Provider and OTC Derivative provider with license number FSP44816 (the “Principle” hereafter). AT Global Markets SA (Pty) Ltd is the principal, liquidity provider or the counterparty in any of the transactions.

The Corporate Governance Policy sets out the framework on which the FSP's corporate governance structures and processes are based. The Corporate Governance Policy sets out the decision-making structures of the FSP and how the decision-making structures support and assess one another to achieve the King IV objectives of ethical leadership and effective leadership. It is also to facilitate the governance of the organisation in a fair, transparent, responsible, accountable, and ethical manner by the board, management and all personnel. The framework will imbed the principles of Treating Customers Fairly (TCF) that run through the recently promulgated Fit and Proper requirements.

2. Company Contact Details

Director / Information Officer: Marcius van Antwerpen

Postal Address: [6 Mariette Nook, Pretoriuspark,
Pretoria, 0042](#)

Street Address: [6 Mariette Nook, Pretoriuspark,
Pretoria, 0042](#)

Telephone Number: +27 83 718 9325

Fax Number: None

Email: Marcius@marciusvan.com

3. The Act

3.1 The ACT grants a requester access to records of a private body, if the record is required for the exercise or protection of any rights. If a public body lodges a request, the public body must be acting in the public interest.

3.2 Requests in terms of the ACT shall be made in accordance with the prescribed procedures, at the rates provided. The forms and tariff are provided herein as stipulated by the Act.

Requesters are referred to the Guide in terms of Section 10 which has been compiled by the South African Human Rights Commission, which will contain information for the purposes of exercising Constitutional Rights.

The guide can be obtained upon request during normal working hours from:

- the Information officer of "Markex International (Pty) Ltd" including the office of the regulator. Details of the information officers are provided below:

Information Officer – Marcius van Antwerp – Marcius@marciusvan.com

- the website of the Regulator (<https://www.justice.gov.za/inforeg/>)

3.3 The contact details of the Commission are:

Postal Address: Private Bag 2700, Houghton, 2041
 Telephone Number: +27-11-877 3600
 Fax Number: +27-11-403 0625
 Website: www.sahrc.org.za

4. Application Legislation

<u>No</u>	<u>Ref</u>	<u>Act</u>
1	No 61 of 1973	Companies Act
2	No 98 of 1978	Copyright Act
3	No 55 of 1998	Employment Equity Act
4	No 95 of 1967	Income Tax Act
5	No 66 of 1995	Labour Relations Act
6	No 89 of 1991	Value Added Tax Act
7	No 37 of 2002	Financial Advisory and Intermediary Services Act
8	No 75 of 1997	Basic Conditions of Employment Act
10	No 25 of 2002	Electronic Communications and Transactions Act
11	No 2 of 2000	Promotion of Access of Information Act
12	No 30 of 1996	Unemployment Insurance Act

5. Schedule of Records

<u>Records</u>	<u>Subject</u>	<u>Availability</u>
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Administration	<ul style="list-style-type: none"> • License information 	Freely available on web site
Human Resources	<ul style="list-style-type: none"> • Employment Contracts • Remuneration Records and Policies • Records of Disciplinary Hearings • Staff Salaries and Benefits 	From Information Officer upon request
Client Registry	<ul style="list-style-type: none"> • Particulars of client 	From Information Officer upon request
Public Affairs	<ul style="list-style-type: none"> • Public Product Information • Public Corporate Records • Media Releases 	Freely available on web site
Financial	<ul style="list-style-type: none"> • Financial Statements • Financial and Tax Records (Company & Employees) • Asset Register • Management Accounts 	Proprietary - Request in terms of PAIA. Not available.
Marketing	<ul style="list-style-type: none"> • Public Customer Information: <ul style="list-style-type: none"> ○ Product Brochures ○ Owner Manuals 	Limited Information available on web site.

6. The Procedure for requesting our records

- The requester must use the prescribed form to make the request to access a record. ○ This must be made to our Information Officer.
- The request must be made to our postal address, fax number or e-mail address contained herein.
- The requester must provide sufficient detail on the request form to enable the Information Officer to identify the record and the requester.
- The requester must also indicate which form of access is required and specify its postal address or fax number in the Republic.
- The requester must identify the right that is sought to be exercised or to be protected and provide an explanation as to why the requested record is required for the exercise of that right.
- If the request is made on behalf of another person, the requester must submit proof of the capacity in which the requester is making the request to the satisfaction of the Information Officer
- The requester must use the prescribed **Form C** annexed to the manual to make the request for access to a record. This must be made to the Information Officer.
- Please see Annexure B for Form C.

7. Fees Payable for requesting our records

A requester who seeks access to a record containing personal information about that requester is not required to pay the request fee. Every other requester, who is not a personal requester, must pay the required request fee:

- The Information Officer must notify the requester (other than a personal requester) by notice, requiring the requester to pay the prescribed fee (if any) before further processing the request.
- The requester must pay a fee outlined in Annexure A. The requester may lodge an application to court against the tender or payment of the request fee.
- After the Head of our Organisation has made a decision on the request, the requester will be notified in the required form.
- If the request is granted, a further access fee must be paid for the search, reproduction, and for any time that has exceeded the prescribed hours to search and prepare the record for disclosure.

8. Availability and updating our manual

This Manual can be viewed on our website, or is available for inspection free of charge at our above physical address. The Information Officer will update the manual on a regular basis.

9. ANNEXURE A - Fees in Respect of Private Bodies

Fees in Respect of Private Bodies

Item	Description	Amount
1.	The request fee payable by every requester	R140.00
2.	Photocopy/printed black & white copy of A4-size page	R2.00 per page or part thereof.
3.	Printed copy of A4-size page	R2.00 per page or part thereof.
4.	For a copy in a computer-readable form on:	
	(iii) Flash drive (to be provided by requestor)	R40.00
	(iv) Compact disc	
	If provided by requestor	R40.00 If provided to the requestor
		R60.00
5.	For a transcription of visual images per A4-size page	Service to be outsourced. Will depend on quotation from Service provider.
6.	Copy of visual images -	Service to be outsourced. Will depend on quotation from Service provider.
7.	Transcription of an audio record, per A4-size page	R24.00
8.	Copy of an audio record on:	
	(v) Flash drive (to be provided by requestor)	R40.00
	(vi) Compact disc	
	If provided by requestor	R40.00 If provided to the requestor
		R60.00

9. To search for and prepare the record for disclosure for each hour or part of an hour, excluding the first hour, reasonably required for such search and preparation. R145.00

To not exceed a total cost of

R435.00

10. Deposit: If search exceeds 6 hours, a fee equal to one third of amount per request calculated in terms of items 2 to 8.

11. Postage, e-mail or any other electronic transfer Actual expense, if any.”.

10. Form C

FORM C

REQUEST FOR ACCESS TO RECORD OF PRIVATE BODY

(Section 53(1) of the Promotion of Access to Information Act, 2000 (Act No. 2 of 2000))

[Regulation 11]

A. Particulars of private body

The Head:

B. Particulars of person requesting access to the record

- a) The particulars of the person who requests access to the record must be reported below.
- b) *Furnish an address and/or fax number in the Republic to which information must be sent.*
- c) *Proof of the capacity in which the request is made, if applicable, must be attached.*

Full names and surname: _____

_____ Fax number: _____

Telephone number: _____ E-mail address: _____

Identity number _____

Postal address: _____

11. Document Metadata

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